Pretreatment methods for lignocellulosic biofuels production: current advances, challenges and future prospects

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**Review Paper**

**Abstract**

Lignocellulosic biomass has been recognized as promising feedstock for biofuels production. However, the high cost of pretreatment is one of the major challenges hindering large-scale production of biofuels from these abundant, indigenously-available, and economic feedstock. In addition to high capital and operation cost, high water consumption is also regarded as a challenge unfavorably affecting the pretreatment performance. In the present review, advances in lignocellulose pretreatment technologies for biofuels production are reviewed and critically discussed. Moreover, the challenges faced and future research needs are addressed especially in optimization of operating parameters and assessment of total cost of biofuel production from lignocellulose biomass at large scale by using different pretreatment methods. Such information would pave the way for industrial-scale lignocellulosic biofuels production. Overall, it is important to ensure that throughout lignocellulosic bioethanol production processes, favorable features such as maximal energy saving, waste recycling, wastewater recycling, recovery of materials, and biorefinery approach are considered.

**Keywords:**

Lignocellulose, Biomass, Biofuels, Pretreatment, Sustainability, Economic viability

**Graphical Abstract**

HIGHLIGHTS

- Recent advances on the pretreatment of lignocellulosic biomass are reviewed.
- Effects of pretreatment methods on lignocellulosic bioethanol production are critically compared.
- Challenges/perspectives of pretreatment technology for cellulosic biofuels are presented and discussed.

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1. Introduction

The increasing global demands for fossil fuels and their widespread consumption, leading to environmental degradation, have been of major concerns. More specifically, the world-wide energy consumption is estimated to increase by 49% from 2007 to 2035, alongside the growth in economy, expanding population, and social pressure (Cheah et al., 2016; Prasad et al., 2016). India and China account for a major proportion of this drastic increase (Kumar et al., 2019). Energy security and environmental sustainability have put forward the need for developing alternative energy resources, to substitute fossil fuels. The alternatives for gasoline include bioethanol recognized as the most promising biofuel as it can be applied as sole fuel in compatible car engines or be blend up to 30% with gasoline without car engine modifications (Safarian and Unnthorsson, 2018). Bioethanol possesses high oxygen content resulting in better combustion efficiency while it also has a higher octane number allowing engine operation at high compression ratios (Branco et al., 2019). Bioethanol could be derived from corn, sugarcane, grains, and sugar beets. Brazil and the USA together contribute approximately 90% of global bioethanol production; 59% and 27%, respectively (Mu et al., 2010). In a different LCA study, it was shown that for producing an equivalent amount of bioethanol, the performance of the biochemical conversion method was more environmentally friendly than the thermochemical route (Mu et al., 2010; Liyamen and Ricke, 2012; Kumar et al., 2019). From the economic perspective, since bioethanol yield is very dependent on the characteristics of lignocellulosic biomass source, therefore, pretreatment is considered as the costliest operation (Kumar et al., 2019). It should also be noted that the cost associated with the detoxification of toxic inhibitors (produced in response to the pretreatment method used) should also be added to the pretreatment cost (Liyamen and Ricke, 2012). Overall, an effective pretreatment should be both environmentally and economically sound. It is also important to ensure that throughout the lignocellulosic bioethanol production process, other favorable features such as maximal energy saving, waste recycling, wastewater recycling, recovery of materials, and biorefinery approach are considered (Kumar et al., 2019). Given the significance of the pretreatment stage, in the present review, the updates on pretreatment processes are summarized while the challenges faced are also presented and thoroughly discussed.

2. Lignocellulosic feedstocks and their compositions

2.1. Forest woody and herbaceous biomass

Forest woody biomass is known as the one of the most promising renewable bioethanol feedstocks since it offers carbon bio-sequestration offsetting the carbon dioxide produced during combustion processes. Woody biomass is readily available during forest thinning and timber harvesting and given its high energy content and low-cost, it could be effectively used for bioethanol production (Zheng et al., 2014). Woody biomass accounts for about 30% of the biomass used in the USA annually to produce bio-based energies (Liang et al., 2017). The forest woody feedstocks used in the USA are generally Pinus contorta, Pseudotsuga menziesii, and Pinus ponderosa, which are rich in hemicellulose (18-33%) and cellulose (30-55%) (Liang et al., 2017). Almost 90% of the dry weight of forest woody biomass is composed of lignin, hemicellulose, cellulose, and pectin (Prasad et al., 2016). More specifically, the typical biomass consists of 30-60% cellulose, 15-40% hemicellulose, and 10-25% of lignin (Liyamen and Ricke, 2012; Menon and Rao, 2012; Rosales-Calderon and Arantes, 2019).

Plants under the genus *Populus* with 35 species are the most abundant and fast-growing woody feedstock for bioethanol production (Liyamen and Ricke, 2012). Forest woody biomass was reported to generate less ash than agriculture residues, as the former possess more lignin and is of higher density (Liyamen and Ricke, 2012). Moreover, forest woody biomass offers...
a more flexible biomass harvesting time compared to agriculture residues. The forest residues like dead fronts, wood chips, and sawmills could also serve as bioethanol feedstock. Switchgrass is a well-known feedstock which could provide high yields of glucose, it is highly resistant against diseases, and has a high biomass productivity. Fast-growing high-yielding grass *Miscanthus giganteus* is another candidate for biofuel production. It is native to Asia but it is also grown in the Europe. This grass accounts for 50-70% of total biomass feedstock (including forest woody biomass and agriculture residues) used for cellulosic biofuel production (Liyamen and Ricke, 2012). It has been reported that 133×10^3 L of bioethanol could be generated by devoting 9.3% of the croplands in the USA to miscanthus cultivation, and through which one-fifth of the country’s gasoline consumption could be offset (Heaton et al., 2008). Scagline-Mellor et al. (2018) reported the cultivation of switchgrass and giant miscanthus on reclaimed mine sites in the eastern USA and claimed that the bioethanol yield was greater for miscanthus as compared to switchgrass.

### 2.2. Agricultural residues and municipal solid waste

Agriculture residues such as corn stalk, corn cobs, corn stover, sugarcane bagasse, rice stalk, and wheat stalk are potential sources for bioethanol production. These crops have a short-harvest rotation, thus allowing greater availability of these wastes throughout the year. About 350 to 450 million tonnes of crops are harvested annually and this generates huge quantities of agricultural waste (Liyamen and Ricke, 2012). For instance, wheat straw is produced during wheat grain harvesting at the rate of 1 to 3 tonnes/acre annually. One kg of corn stover would be generated against every single kg of corn grain harvested (Singh et al., 2018). Sarkar et al. (2012) claimed that a year’s agricultural residues, 14.8×10^6 Giga L, would be feasible from rice straw, wheat straw, corn straw, and sugarcane bagasse (Sarkar et al., 2012). Since agricultural residues offer a high level of availability and numerous harvesting cycles through only a single planting phase, the costs of managing these energy crops could be reduced. From the cost point of view, the price of sugarcane and corn stand at 60.9 USD/tonne and 185.9 USD/tonne, respectively, while those of sugarcane bagasse and corn stover stand at much lower values of only 35.4 and 85.8 USD/tonne, respectively (Rosales-Calderon and Arantes, 2019). It should be noted that approximately 70% of bioethanol production cost is associated with the cost of obtaining the feedstock, i.e., harvesting operation (Smuga-Kogut et al., 2019). A reduction in cost by half could be attained by using agricultural residues, rather than the energy crops. More importantly, applying these wastes could contribute to a reduction in forest trees logging and the arable land used for herbaceous plants plantation like switchgrass, thus further promoting a greener environment.

Municipal solid waste and wastes from food and pulp industries have also been studied for ethanol production (Brunco et al., 2019; Smuga-Kogut et al., 2019). Municipal solid waste is a promising feedstock as its carbohydrate content can be fermented for bioethanol production, while the protein and mineral fractions of the waste are also important to support the growth of fermenting microbes. Matsakas et al. (2014) has reported that through the application of dry/mixed food waste, 43 g/L of ethanol via enzymatic saccharification/liquefaction and fermentation could be produced. The remaining solid residues left after the fermentation process, were pretreated using microwave-assisted hydrothermal method, and were subsequently further fermented for a second round. A total yield of 59 g/L of ethanol was produced after the two rounds of fermentation process.

### 2.3. Microalgae

Microalgae have been recognized over the last decades as promising feedstock for bioenergy production. Their carbohydrate content may be used to produce fermentative bioethanol and biobutanol, whereas their lipid content has a potential for biodiesel production. Gaseous biofuels like biomethane and biohydrogen can also be derived from microalgae as well as their residues, after the two rounds of fermentation process. A total yield of 59 g/L of ethanol was produced after the two rounds of fermentation process.

#### 2.3.1. Carbohydrate compositions

Table 1 below shows the typical compositions of lignocellulosic biomass from various feedstocks.

<table>
<thead>
<tr>
<th>Feedstock</th>
<th>Carbohydrate compositions (%)</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Cellulose</td>
<td>Hemicellulose</td>
</tr>
<tr>
<td>Sugarcane tops</td>
<td>35</td>
<td>32</td>
</tr>
<tr>
<td>Sugarcane bagasse</td>
<td>42</td>
<td>25</td>
</tr>
<tr>
<td>Corn stover</td>
<td>38</td>
<td>26</td>
</tr>
<tr>
<td>Corn cob</td>
<td>45</td>
<td>35</td>
</tr>
<tr>
<td>Rice straw</td>
<td>38</td>
<td>32</td>
</tr>
<tr>
<td>Switchgrass</td>
<td>40-45</td>
<td>30-35</td>
</tr>
<tr>
<td>Leaves</td>
<td>15-20</td>
<td>80-85</td>
</tr>
<tr>
<td>Grass</td>
<td>29-43</td>
<td>8-29</td>
</tr>
<tr>
<td>Industrial waste from chemical pulp</td>
<td>50-70</td>
<td>12-20</td>
</tr>
<tr>
<td>Waste paper</td>
<td>65</td>
<td>15</td>
</tr>
</tbody>
</table>

### 3. Pretreatment Technologies

Pretreatment is known as the most expensive processing step throughout the conversion of lignocellulosic biomass to fermentable sugars. This is ascribed to the costs of solids processing after pretreatment, liquid handling, as well as treatment of potentially present inhibitors and co-products. (Menon and Rao, 2012). Effective pretreatment, therefore, should be able to (i) enhance sugar yields for downstream processing, (ii) treat all types of seawater and wastewater, while producing higher amounts of sugar substrates for fermentation. Liyamen and Ricke (2012) claimed that microalgae produced 10 times more bioethanol than corn per area of cultivation. In recent years, engineering approaches have been incorporated into the microalgae cultivation systems, so as to produce microalgae biomass with greater carbohydrate contents, thus resulting in higher bioethanol yields. For instance, *Chlamydomonas reinhardtii* cultivated in a two-stage fed-batch photautotrophic system exhibited 71% of carbohydrate content (Wang et al., 2015). *Chlorella vulgaris* JSC-6 grown under mixotrophic and nitrogen starvation conditions accumulated 54% of starch for acetone-biobutanol-bioethanol fermentation (Wang et al., 2016).

#### 3.1. Pretreatment methodologies

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lignocellulosic feedstock, (iii) assist in lignin recovery for subsequent combustion, (iv) lead to less formation of co-products or inhibitors, (v) minimize energy and operation costs, and (vi) regenerate valuable lignin co-products (Prasad et al., 2016; Kumar and Sharma, 2017). There are various pretreatment options applied for bioethanol production. Figure 1 shows a summary of lignocellulosic biomass pretreatment methods. The choice of pretreatment methods relies on economic factor, the type of lignocellulosic feedstock, and its environmental impacts (Liyamen and Ricke, 2012; Menon and Rao, 2012). Industrial inputs based on real-world situation are also important in enhancing pretreatment viability and eventually bioethanol production. The following sections describe the various pretreatment methods including their advantages and drawbacks based on recent findings.

### 3.1. Physical pretreatment

Physical or mechanical treatment such as milling, extrusion, freezing, ultrasound, and microwave irradiation, is applied on lignocellulosic biomass prior to the subsequent hydrolysis step. Improved hydrolysis outcomes and energy savings are attained by reduction of crystallinity and provide easy access for the enzymes and solvents. Physical pretreatment like extrusion is a recognized method used to produce char and gaseous product. Lignocellulosic biomass is treated at temperatures more than 300°C with a combination of shearing and mixing to remove and shorten biomass fibers (Maurya et al., 2015). Zheng et al. (2014) studied the application of modified twin screw extruder combined with filtration to remove xylose from steam exploded corncobs at 205°C (Zheng et al., 2014). The extrusion step resulted in structural changes and increases in glucose from 41% to 66% and 58%, depending on varied extrusion conditions. Optimization strategies of physical pretreatment include adjustments of temperature, screw speed, and cellulose concentration. In another study, the pretreatment of switchgrass, blue stem, and prairie cord grass was performed at varied speeds, temperatures, and cellulose concentrations (Karunanithy et al., 2012). The best results obtained were about 28%, 66%, and 49% glucose from switchgrass, blue stem, and prairie cord grass pretreated at 75°C, 150°C, and 100°C with the screw speed of 200 rpm, 200 rpm, and 150 rpm, respectively (Karunanithy et al., 2012). This pretreatment method is difficult to scale up for commercial purposes as it incurs high amounts of operation and energy cost. Overall, the physical pretreatment cost is expensive and its energy consumption could be higher than energy content of the biomass (Menon and Rao, 2012).

Milling is another commonly applied method to pretreat biomass, so as to increase the specific surface area for enzymatic hydrolysis, increase the degree of polymerization, and reduce cellulose crystallinity. Milling can reduce the particle size down to 0.2 mm (Baruah et al., 2018). Smaller size of lignocellulosic biomass provides greater surface area. It is also known as
best suited method for both ethanol and methane production, with no inhibitor generated. Ball mills, attrition mills, centrifugal mills, colloidal mills, hammer mills, vibratory mills, pin mills, and extruder are commonly applied (Amin et al., 2017). Palm oil biomass like empty fruit bunch and dead frond fiber were pretreated using ball milling method. Maximal glucose (87%) and xylose (<82%) were obtained from the pretreated oil palm frond fiber (Zakaria et al., 2014). Gu et al. (2018) reported on planetary ball milling of post-harvest forest residues, yielding the maximal glucose and xylose/mannose of 59.67% and 23.83%, respectively, with low energy input ranging between 0.20-2.15 kWh/kg for 7 to 30 min of milling. The parameters to be considered for effective milling operation include feeding rate of biomass, initial biomass size, machinery parameters, time, and moisture content (Amin et al., 2017; Jędrzejczyk et al., 2019).

Lignocellulosic biomass can be pretreated using the freezing method via volumetric change of water. The volume of water changes as it transforms from liquid to solid at low temperatures. As water diffuses into biomass, the volume of water increases during freezing, resulting in breakdown of the cell walls (Rooni et al., 2017). This method is cheap and environmentally-friendly without leading to the generation of inhibitors. Rooni et al. (2017) found out that four cycles of freezing at −18°C and thawing at 22°C, resulted in the highest glucose yield. Microwave-assisted pretreatment has also been found to be very effective in pretreating switchgrass and miscanthus (Jędrzejczyk et al., 2019). Microwave irradiations provide an easy operation, high heating capacity at short times, and no inhibitor is formed. Nonetheless, the duration of microwave is an important factor to be taken into account for effective pretreatment. A longer exposure time may lead to inhibitor generation and degradation of reducing sugars (Jędrzejczyk et al., 2019). Microwave is generally used in combination with chemical pretreatments for higher pretreatment efficiency.

Ultrasound-assisted pretreatment is another alternative for lignocellulosic biomass pretreatment via the concept of delignification and surface erosion. It offers short processing time, lower operating temperature, and less chemical usage. El Achkar et al. (2018) reported on enhanced biomethane production from grape pomace pretreated by using ultrasound at a frequency of 50 kHz, temperature of less than 25°C with a residence time of 40–70 min. An enhancement was attained following the enhancement in the number of soluble ingredients after pretreatment. Overall, the pretreatment efficiency could vary depending on the solvent used, ultrasonic frequency, and reactor design (Bussemaker et al., 2013). Similar to the microwave-assisted method, this method is often used in combination with other technologies for more effective pretreatment.

3.2. Chemical pretreatment

Chemical pretreatment is the most applied method at commercial scale. It is commonly applied for cellulose delignification in the pulping industry. Chemicals applied include acid, alkali, organic acids, pH-controlled liquid hot water, and ionic liquids (green solvent). Sulphuric acid, nitric acid, phosphoric acid, and hydrochloric acid are typically used for pretreatment. The pretreatment process could be carried out at high temperatures (>180°C) for 1-5 min, or mild temperatures (<120°C) but at longer residence times (30-90 min) (Kumar & Sharma, 2017). This method could also be performed at low temperatures (<100°C) for higher concentrations of acids (30-70%) and at higher temperatures (100-250°C) for dilute acids (<10%) (Baruah et al., 2018). Dilute sulphuric acid is commonly applied to pretreat switchgrass and corn stover. About 0.2% to 2.5% (w/w) is generally added into the biomass for pretreatment, at temperatures ranging between 130 to 210°C with continuous stirring (Menon and Rao, 2012). The main drawbacks of acid pretreatment include, 1) inhibitors generation which requires extensive washing or neutralization prior to fermentation and 2) corrosive nature of acid corroding reactors (Kumar and Sharma, 2017). Hydroxymethylfurfural and acetic acid formed during acid pretreatment (at a concentration of 2 g/L and 3 g/L, respectively) have shown inhibitory effect on the subsequent fermentation (Jędrzejczyk et al., 2019).

Acid pretreatment works for hemicellulose removal while it can also be followed by alkali pretreatment to remove lignin, eventually resulting in pure cellulose. Alkali pretreatment involves the addition of hydroxide salts like sodium, potassium, calcium, and ammonium. Alkali application is able to alter the structure of lignin by degrading ester and glycosidic side chains, cellulose swelling, and partial cellulose recrystallization. Rice straw pretreated using aqueous ammonia and dilute sulphuric acid yielded 96.9% and 90.8% of lignin and hemicellulose removal, respectively (Kim et al., 2011). Calcium hydroxide is the most economic alkaline pretreatment when compared to ammonia, sodium hydroxide, and potassium hydroxide. Biogas yielded from corn cob residues was two times higher than untreated corn cob, as lime pretreatment accelerated the digestion process by removing lignin (Banerah et al., 2018). Optimization strategies like time and chemical concentrations are important factors to enhance the reaction rates.

Organosolv is a mixture of organic solvents capable of solubilizing hemicellulose and extracting lignin. The commonly used organic solvents used as catalyst for the organosolvation reaction are methanol, ethanol, acetone, ethylene glycol with organic acids like oxalic, salicylic, and acetylsalicylic (Jędrzejczyk et al., 2019). This technique results in delignification and complete solubilization of hemicellulose (Jędrzejczyk et al., 2019). The range of temperature applied is 100 – 250°C. This pretreatment is indeed effective; however, its main concern is the cost and the chemical usage which requires a further step for solvent recycling. Another type of pretreatment is the oxidative pretreatment in which oxidative agents such as oxygen, ozone, hydrogen peroxide, and air are used. The oxidizing agent is applied to oxidize aromatic rings into carboxylic acids. The process in not selective, and therefore, loss of cellulose and hemicellulose could also occur following the delignification. Overall, this method is not common as it is energy and cost-consuming, and is thereby difficult to implement at large scale. Generation and handling of ozone gas is another concern associated with the application of this pretreatment.

3.3. Physico-chemical pretreatment

Lignocellulosic biomass can also be pretreated physically and chemically in combination. For instance, milling, a physical pretreatment, can be performed alongside alkali pretreatment to enhance pretreatment efficiency. In a study, the efficiency of enzymatic hydrolysis was increased by up to 110% by combined pretreatment of corn stover with the milling-alkali method (Kumar and Sharma, 2017). Other examples of combined pretreatments are steam explosion, ammonia fiber explosion, ammonia recycle percolation, carbon dioxide explosion, microwave-chemical, and liquid hot water. Steam explosion applies high pressures of steam followed by sudden reduction of pressure, so as the biomass undergoes explosive decompression. This quick depressurization involves an initial temperature of 160 to 260°C for seconds and minutes in saturated steam prior to exposure to atmospheric pressure (Banerah et al., 2018; Kim, 2018). The method is known to be effective to disintegrate various lignocellulosic biomass feedstock, forest residues, and wastes at low cost and fast rate. Kim (2018) claimed that the steam explosion pretreatment uses 70% less energy compared to physical pretreatments. During the steam pretreatment stage, hemicellulose is hydrolyzed and acids are formed in-situ, further hydrolyzing the hemicellulose.

The concept of the ammonia fiber explosion is almost similar to that of the steam explosion. Lignocellulosic biomass is exposed to ammonia at high pressures and temperatures, followed by sudden reduction of pressure. Approximately 1-2 kg of liquid ammonia is applied for every single kg of dried biomass. The temperature used would be 90°C for 30 min of residence time (Menon and Rao, 2012). Ammonia recycle percolation pretreatment is similar to ammonia fiber explosion. More specifically, aqueous ammonia (10-15%) is applied on biomass at temperatures ranging between 150 to 170°C for 14 min (Menon and Rao, 2012). Both methods work to cleave C-O-C bonds in lignin and lignin-carbohydrate complex linkage; as well as to increase the surface area of biomass for more effective enzyme hydrolysis. This method is effective for biomasses like wheat straw, wheat chaff, and alfalfa but is not highly effective on woody lignocellulosic biomass. Nevertheless, the main concern associated with the application of this technique is the cost of ammonia and its recovery, increasing the overall cost of pretreatment.

Lignocellulosic biomass can also be pretreated by using the carbon dioxide explosive method where a combination of steam and supercritical CO2 (scCO2) is used. Due to the high pressure present, CO2 is explosively discharged through a nozzle, causing lignocellulosic biomass structure to rupture (Puri and Manners, 1983; Lä et al., 2013). This method is considerably economic, non-toxic, and is known to reduce greenhouses.
effect due to the utilization of CO\textsubscript{2}. Liu et al. (2014) used this technique to pretreat corncob, cornstalk, and rice straw at 80 to 160°C, 5-20 MPa for 15 to 60 min. They argued that the pretreatment was effective in improving the reducing sugar yields, with the best results obtained for corncob (Liu et al., 2014). Liu et al. (2013) successfully enhanced the efficiency of scCO\textsubscript{2} pretreatment by the addition of co-solvents such as ethanol, butanol, and water.

Microwave-chemical pretreatment is performed by heating chemicals together with biomass using microwave. Miscanthus biomass was pretreated using microwave together with sodium hydroxide and sulphuric acid. As compared to pretreatment using alkali and acid alone, sugar yield was increased by 12% and 3% by 12% and 3% while only half of the reaction time was required (Kumar and Sharma, 2017). Higher hydrolysis rate and glucose content in the hydrolysate were attained during the enzymatic hydrolysis stage of pretreated rice straw using microwave/acid/alkali/H\textsubscript{2}S\textsubscript{2}O\textsubscript{8} (Menon and Rao, 2012). Microwave with ionic liquid pretreatment of Crotalaria juncea at 160°C with 46 min of reaction time led to a glucose yield of 78.7% (Paul and Dutta, 2018). Microwave with acid pretreatment of Jabon kraft pulp produced 49% of reducing sugars at 190°C (Patrasiari et al., 2019). Liquid hot water pretreatment uses water at varied temperatures (160-240°C) while high pressures are applied to maintain water in the liquid state (Kim, 2018). The method offers advantages like low cost as no chemical and catalyst is required, no inhibitor formation, no size reduction requirement prior to pretreatment, and ability to pretreat up to 80% of hemicellulose (Menon and Rao, 2012; Kim, 2018). It has been reported that xylose recovery of 80% and enzymatic hydrolysis of 91% could be achieved by pretreating wheat straw by using the liquid heat water method (Menon and Rao, 2012).

3.4. Biological pretreatment

The naturally found wide taxonomic array of microorganisms are used in biological pretreatment. Fungi and bacteria are capable of biologically pretreating lignocellulosic biomass by modifying its structure and degrading it into simpler substrates for ligninolytic enzyme digestion. Cellulose and hemicellulose are normally hydrolyzed in biological pretreatment to monomeric sugars using cellulolytic and hemicellulolytic microorganisms (Sharma et al., 2017; Koupai et al., 2019). White-rot, brown-rot, and soft-rot fungi are known to be effective microorganisms in pretreating biomass by producing lignin-degrading enzymes, while white-rot-fungi are more commonly used as they are able to provide higher sugar yields (Baruah et al., 2018; Waghmare et al., 2018). Lignin-degrading

<table>
<thead>
<tr>
<th>Pretreatment</th>
<th>Cost</th>
<th>Toxic by-product</th>
<th>Applicable to a wide range of biomass</th>
<th>Remarks</th>
<th>Enhancement of biofuel production</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acid pretreatment</td>
<td>Low</td>
<td>High</td>
<td>Yes</td>
<td>Dilute acid is used to limit inhibitors generation</td>
<td>Solubilization of hemicellulose; condensation and precipitation of solubilized lignin.</td>
</tr>
<tr>
<td>Freezing</td>
<td>Low</td>
<td>Low</td>
<td>No</td>
<td>Cycles of freezing and thawing</td>
<td>Biomass cell walls are broken down due to volumetric change of water from liquid to solid state.</td>
</tr>
<tr>
<td>Milling</td>
<td>Low</td>
<td>Low</td>
<td>Yes</td>
<td>Used for bioethanol and biogas generation</td>
<td>Increases surface area for enzymatic hydrolysis, increases the degree of polymerization, and reduces cellulose crystallinity.</td>
</tr>
<tr>
<td>Liquid hot water</td>
<td>Low</td>
<td>Low</td>
<td>No</td>
<td>High water and energy inputs</td>
<td>Improves enzymatic digestibility of biomass when in contact with cellulase enzyme.</td>
</tr>
<tr>
<td>Organic solvent (Organosolv)</td>
<td>High</td>
<td>High</td>
<td>Yes</td>
<td>Low boiling point of solvent. Solvent recycling is required.</td>
<td>Enhances enzymatic hydrolysis and saccharification by breaking the internal bonds between lignin and hemicellulose leaving pure cellulose residues.</td>
</tr>
<tr>
<td>Oxidation</td>
<td>High</td>
<td>No</td>
<td>Yes</td>
<td>High cost of ozone generation. Proper ozone handling is required.</td>
<td>Delignification by electrophilic substitution, displacement of side chains, and cleavage of alkyl-aryl linkage.</td>
</tr>
<tr>
<td>Steam explosion</td>
<td>High</td>
<td>High</td>
<td>Yes</td>
<td>High cost of steam generation</td>
<td>High temperature and pressure enhance the breakdown of the glycosidic bonds in cellulose and hemicellulose as well as the cleavage of hemicellulose-lignin bonds.</td>
</tr>
<tr>
<td>Extrusion</td>
<td>Low</td>
<td>Low</td>
<td>Yes</td>
<td>Hydrolysis efficiency is improved</td>
<td>Increases enzymatic hydrolysis rates and provides better controlling over all variables for improved biofuel production.</td>
</tr>
<tr>
<td>Wet oxidation</td>
<td>High</td>
<td>Low</td>
<td>No</td>
<td>Less water use as no solid washing is required</td>
<td>Provides a high degree of solubilization of hemicellulose and lignin.</td>
</tr>
<tr>
<td>CO\textsubscript{2} explosion</td>
<td>High</td>
<td>Low</td>
<td>Yes</td>
<td>High cost for pressure maintenance</td>
<td>Increases accessible surface area, improves hydrolysis process, and decreases the production of inhibitory compounds.</td>
</tr>
<tr>
<td>Microwave irradiation</td>
<td>High</td>
<td>Low</td>
<td>Yes</td>
<td>More effective than conventional heating process</td>
<td>High energy radiations able to disrupt chemical bonds in biomass resulting in characteristics changes including decreased cellulose crystallinity, depolymerization of lignin, and hydrolysis of hemicelluloses.</td>
</tr>
<tr>
<td>Ultrasound</td>
<td>High</td>
<td>Low</td>
<td>Yes</td>
<td>Low temperature and less time required</td>
<td>Delignification and surface erosion.</td>
</tr>
<tr>
<td>Ammonium fiber expansion (APEX)</td>
<td>High</td>
<td>Low</td>
<td>Yes</td>
<td>Less effective for biomass with high lignin contents</td>
<td>Increases accessible surface area and does not require small particle size of biomass.</td>
</tr>
<tr>
<td>Ionic liquid (IL)</td>
<td>High</td>
<td>Low</td>
<td>Yes</td>
<td>Stability and reuse of IL. Instability of IL may cause contamination</td>
<td>Improves cellulose dissolution.</td>
</tr>
<tr>
<td>Biological pretreatment</td>
<td>Low</td>
<td>Low</td>
<td>Yes</td>
<td>Less cost but time consuming</td>
<td>Increases delignification and able to reduce cellulose polymerization.</td>
</tr>
<tr>
<td>Hydrothermal liquefaction</td>
<td>High</td>
<td>Low</td>
<td>Yes</td>
<td>Lignocellulosic materials are depolymerized into bio-oil, biogas, biocar, and water-soluble compounds in an aerobic or anaerobic enclosure.</td>
<td>Cellulose is both accessible and susceptible to enzyme hydrolysis.</td>
</tr>
</tbody>
</table>

enzymes include phenol oxidase, lignin peroxidase, versatile peroxidase, and manganese peroxidase. Simultaneous degradation and fermentation of lignocellulosic biomass by these microorganisms could lead to the formation of various biofuels (including ethanol, hydrogen, and methane) and biomaterials (such as various enzymes, lactates, acetates, and organic acids) (Sharma et al., 2017; Xu et al., 2017).

In addition to microorganisms such as bacteria and fungi, there are other organisms which could be used for biological pretreatment of biomass digestion. There are various taxonomic groups of insects known for digestion species have their own unique feeding/mastication system for physical certain physiological functions for the breakdown of cellulosic biomass. Such microorganisms, their interaction, and competition, etc. could digest the organic matters efficiently (Yao et al., 2018).

The enzymatic activity within the gut of the earthworm followed by microbial flora activities has the ability to digest cellulose, carbohydrates, chitin, lignin, starch, etc. Hence, worm tea (i.e., vermicomposting liquid leachate) is used as an option for biological pretreatment. The microflora found in gastropods and ruminant mammals could also be used as sources of effective microbes to be used for cellulose digestion. There exist many reports on insolation of microbes from such environments and their implementation in biological pretreatment of lignocellulosic materials and the production of bioproducts (Vasco-Correa et al., 2016; Sharma et al., 2017).

Biological pretreatment could be influenced by various factors including physical factors (temperature, moisture, incubation time, aeration, substrate size, accessible surface area, etc.), chemical factors (pH, culture media composition, carbon source, nitrogen source, cellulose crystallinity, inorganic and organic compounds, enzyme and hydrolysate roles, etc.) and biological factors (species of microorganisms, consortia of microorganisms, their interaction, and competition, etc.). These conditions alter the rate of biomass degradation and play major roles in altering the physiochemical structure of lignocellulosic biomass (Vasco-Correa et al., 2019). Overall, the benefits of biological pretreatment include low energy input, no chemical application, and working under mild environmental conditions.

### Table 3

<table>
<thead>
<tr>
<th>Pretreatment</th>
<th>Feedstock</th>
<th>Water consumption</th>
<th>Pretreatment energy consumption</th>
<th>Bioethanol production (L/kg dried biomass)</th>
<th>Cellulose/glucose recovery (%)</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acid</td>
<td>Olive tree biomass</td>
<td>21.89/L bioethanol</td>
<td>2.34 – 5.83 MW</td>
<td>97,300 – 110,830</td>
<td>-</td>
<td>Solano-Toro et al. (2019)</td>
</tr>
<tr>
<td>Diluted acid</td>
<td>Corn stover</td>
<td>389.560 kg/h</td>
<td>255.0 MW</td>
<td>5.85</td>
<td>-</td>
<td>da Silva et al. (2016)</td>
</tr>
<tr>
<td>Mild NaOH</td>
<td>Raw Cogon grass</td>
<td>46 kg/kg of dried biomass</td>
<td>0.134 – 0.174</td>
<td>-</td>
<td>-</td>
<td>Goshadrou et al. (2019)</td>
</tr>
<tr>
<td>NaOH</td>
<td>Banana pseudostem</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>75.48</td>
<td>Shimizu et al. (2018)</td>
</tr>
<tr>
<td>Peroxide</td>
<td>Banana pseudostem</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>74.37</td>
<td>Shimizu et al. (2018)</td>
</tr>
<tr>
<td>Ball milling</td>
<td>Rice straw (2.5% solid content)</td>
<td>-</td>
<td>-</td>
<td>116.65</td>
<td>-</td>
<td>Zhang et al. (2018)</td>
</tr>
<tr>
<td>Ball milling</td>
<td>Rice straw (10% solid content)</td>
<td>-</td>
<td>-</td>
<td>147.42</td>
<td>-</td>
<td>Zhang et al. (2018)</td>
</tr>
<tr>
<td>Freezing</td>
<td>Barley straw</td>
<td>-</td>
<td>-</td>
<td>0.042 – 0.054</td>
<td>19.42</td>
<td>Rooni et al. (2017)</td>
</tr>
<tr>
<td>Ultrasound</td>
<td>Corn meal</td>
<td>-</td>
<td>-</td>
<td>9.67 ± 0.11</td>
<td>-</td>
<td>Nikolić et al. (2011)</td>
</tr>
<tr>
<td>Microwave</td>
<td>Corn meal</td>
<td>-</td>
<td>-</td>
<td>9.87 ± 0.10</td>
<td>-</td>
<td>Nikolić et al. (2011)</td>
</tr>
<tr>
<td>Liquid hot water</td>
<td>Corn stover</td>
<td>470.237 kg/h</td>
<td>232.2 MW</td>
<td>5.20</td>
<td>-</td>
<td>da Silva et al. (2016)</td>
</tr>
<tr>
<td>Liquid hot water</td>
<td>Sugarcane</td>
<td>-</td>
<td>155 MW of total energy consumption</td>
<td>88,950</td>
<td>-</td>
<td>Longati et al. (2018)</td>
</tr>
<tr>
<td>Liquid hot water</td>
<td>Sugarcane</td>
<td>-</td>
<td>77.7 MW of total energy consumption</td>
<td>109,900</td>
<td>-</td>
<td>Longati et al. (2018)</td>
</tr>
<tr>
<td>Ammonia fiber explosion</td>
<td>Corn stover</td>
<td>275.275 kg/h</td>
<td>345.4 MW</td>
<td>5.40</td>
<td>-</td>
<td>da Silva et al. (2016)</td>
</tr>
<tr>
<td>Microwave-assisted organosolv</td>
<td>Mixed saw mill</td>
<td>-</td>
<td>12.5 – 19.0 MJ/kg of dried biomass</td>
<td>-</td>
<td>98 ± 2</td>
<td>Ali et al. (2019)</td>
</tr>
<tr>
<td>Hydrothermal liquefaction</td>
<td>Eucalyptus</td>
<td>-</td>
<td>26 MJ/kg of dried biomass</td>
<td>0.612</td>
<td>-</td>
<td>Wu et al. (2019)</td>
</tr>
<tr>
<td>Supercritical CO₂</td>
<td>Corn stover</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>77.8</td>
<td>Li et al. (2013)</td>
</tr>
<tr>
<td>Saccharomycopsis cerevisae</td>
<td>Paddy straw</td>
<td>-</td>
<td>-</td>
<td>0.00086</td>
<td>-</td>
<td>Azora et al. (2016)</td>
</tr>
<tr>
<td>Escherichia coli FBR 5</td>
<td>Corn stover</td>
<td>-</td>
<td>-</td>
<td>0.0209</td>
<td>-</td>
<td>Zabed et al. (2016)</td>
</tr>
<tr>
<td>S. cerevisiae SXA-R2P-E</td>
<td>Rice straw</td>
<td>-</td>
<td>-</td>
<td>0.0207</td>
<td>-</td>
<td>Zabed et al. (2016)</td>
</tr>
<tr>
<td>E. coli MS04</td>
<td>Olive tree pruning</td>
<td>-</td>
<td>-</td>
<td>0.023</td>
<td>-</td>
<td>Zabed et al. (2016)</td>
</tr>
<tr>
<td>Phlebus brevispora</td>
<td>Corn stover</td>
<td>-</td>
<td>-</td>
<td>36±0.6</td>
<td>-</td>
<td>Saha et al. (2017)</td>
</tr>
<tr>
<td>Trichoderma reesei</td>
<td>Palm wood</td>
<td>-</td>
<td>-</td>
<td>0.0229</td>
<td>-</td>
<td>Sathendra et al. (2019)</td>
</tr>
</tbody>
</table>

conditions while the drawbacks are being time-consuming and requiring close monitoring of growth conditions. In addition to these, lignin-consuming microorganisms also consume hemicellulose and cellulose. This challenge has made biological pretreatment of biomass considerably less attractive commercially.

Table 2 compares various pretreatment methods in terms of their strengths and weaknesses while Table 3 tabulates the various performance features of these methods including bioethanol production, cost, toxic compounds production, as well as water and energy consumption. It should be noted that the findings summarized do not represent a strict comparison among pretreatment methods, as the yields correspond to different types of biomass feedstock and operating parameters.

3.5. Novel and emerging pretreatment technologies

3.5.1. Ionic liquid pretreatment

Recently, the application of ionic liquid (IL) for lignocellulose pretreatment has attracted considerable attention. These compounds have been exploited extensively due to their green properties, high thermal stabilities and negligible vapor pressures preventing the release of toxic gases (Wang et al., 2017). Figure 2 shows the typical lignocellulosic biomass pretreatment by ILs for biofuel production. Table 4 presents a list of ILs generally used for pretreatment of lignocellulosic biomass and Table 5 summarizes the advantages and disadvantages of the IL when used in pretreatment processes. Among the numerous ILs widely utilized for lignocellulose pretreatment are imidazolium-, pyridinium-, [Emim]Ac, [Emim]Cl and [Bmim]Cl. Overall, the ILs recognized effective for cellulose dissolution normally comprise imidazolium+, pyridinium+, ammonium+, phosphonium+, or morpholinium+ based cations and anions. These groups of ILs are found to be effective due to their ability to form strong hydrogen bonds with hydroxyl groups. Through IL-based pretreatment of biomass, lignin and hemicellulose are removed rapidly while the crystalline structure of the cellulose is reduced effectively (Li et al., 2010).

In spite of the promising features of ILs, their application at industrial-scale is limited due to the high viscosity of the ILs and the huge amounts of expensive ILs required resulting in high operation cost. Hu and colleagues managed to overcome the viscosity problem of the ILs by adding water. In their study, 50% (w/w) of water was added to the [Bmim] BF₄ IL. They found that through the addition of water in the IL, the enzymatic hydrolysis of the cornstalk was improved by up to 81.68% (Hu et al., 2018). Integration of methods generally provide promising results. A novel technology of integrating microwave and IL was reported recently (Hou et al., 2019). In this study, the microwave-assisted IL pretreatment of Eucalyptus sawdust led to stronger delignification and deconstruction of the cellulose crystalline structure which subsequently improved enzymatic hydrolysis. Such findings encourage more integration of different pretreatment methods that could enhance biofuels production.

The combination of IL and co-solvents for cellulose dissolution has also

![Fig. 2. Method of ionic liquid pretreatment for lignocellulosic biomass.](image)
been acknowledged as an efficient method. It was reported that the co-solvent system has several advantages over the parent ILs that include a high rate of dissolution, high thermal stability, and low viscosity (de Oliveira Santos et al., 2016). In a study conducted by the Rinaldi group, it was discovered that the solvent system comprising of aprotic polar solvents (e.g., N,N-dimethylformamide (DMF), dimethyl sulfoxide (DMSO), N,N-dimethylacetamide (DMA), and 1,3-dimethyl-2-imidazolidinone (DMI)) with a minimum molar fraction of IL was capable of achieving high cellulose dissolution at a faster rate and at low temperatures of about 50°C contrasting to the application of only IL that required longer time at high temperatures (Rinaldi, 2011). Xu et al. (2013) developed a powerful cellulose solution by adding DMSO to 1-butyl-3-methylimidazolium acetate ([Bmim]Ac) and greatly enhanced cellulose dissolution. Overall, rational design of ILs and the use of IL-based solvent systems could be regarded as efficient approaches to enhance pretreatment efficiency, increase cellulose dissolution, reduce the cost of ILs, and to improve their compatibility with enzymes.

### Table 5.
Advantages and disadvantages of IL application in pretreatment of lignocellulosic biomass.

<table>
<thead>
<tr>
<th>Advantages</th>
<th>Disadvantages</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Increases in biomass digestibility</td>
<td>• Expensive solvents</td>
<td>Chen et al. (2017)</td>
</tr>
<tr>
<td>• Environmentally friendly due to low toxicity</td>
<td>• High cost for industrial applications</td>
<td>Holm and Lassi (2011)</td>
</tr>
<tr>
<td>• Low volatility</td>
<td>• May contain several impurities, such as halides, water and volatiles.</td>
<td>Ouellet et al. (2011)</td>
</tr>
<tr>
<td>• Low density</td>
<td>• Instability of ILs and possible contamination resulting from the reactions between ILs and substrate</td>
<td></td>
</tr>
<tr>
<td>• High thermal and chemical stability</td>
<td>• In most cases ionic liquids are viscous that negatively affect the mass transfer and power requirements for mixing heterogeneous liquid-liquid systems.</td>
<td></td>
</tr>
<tr>
<td>• Ability to form two-phase system with numerous solvents</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### 3.5.2. Hydrothermal pretreatment

Over the past decade, hydrothermal processes have played a vital role in the generation of fuels. Hydrothermal pretreatments utilize high temperatures of subcritical water (< 374°C). Such high temperatures are applied to denature plant cell walls, degrade hemicelluloses, and transform lignin into sugars/syngas (Zhang et al., 2012). Hydrothermal-based biomass conversion techniques can be categorized into three main classes depending on the target product: carbonization, liquefaction, and gasification. These categories differ in terms of the process temperature and the type of products yielded. Figure 3 illustrates an overview of the hydrothermal pretreatment. The benefits of hydrothermal application include high energy conversion, low corrosion, and no catalyst requirements (Jøeholm et al., 2016). Biomass pretreatment using this technique could enhance the accessibility of cellulose to enzymes by increasing the surface area of the biomass and decreasing the cellulose crystallinity (Binod et al., 2010).

Hydrothermal carbonization process is conducted in temperatures ranging between 200 and 270°C and the product is a carbon-rich solid char, whereas hydrothermal liquefaction involves processing conditions between 250 and 400°C, producing bio-oil, water-soluble constituents, char and a gas phase mainly consisting of carbon dioxide (Toor et al., 2011). Hydrothermal gasification is usually performed at temperatures greater than 400°C and the product is fuel gases. Generally, when biomass is exposed to thermal heating, inhibitors and acids are released from the pretreated biomass. These by-products could interfere with the downstream enzymatic hydrolysis. Hence, diverse hydrothermal phases are applied to circumvent these inhibitory compounds, such as steam explosion, steam treatment, and liquid hot water (160 – 240°C) (Ruiz et al., 2008). The hydrolysis of lignocellulosic biomass and the disruption of lignin structure utilizing subcritical and supercritical water process is influenced by a few operating conditions such as temperature, time of residence, flow rate, pressure, pH, as well as the parameters related to the equipment used (Torres-Mayanga et al., 2019). The extreme temperatures used in hydrothermal gasification lead to greater reaction rates compared to the rates obtained in hydrothermal liquefaction and carbonization. Recently, solvolysis liquefaction which is the addition of organic solvents in the liquefaction process has also been gaining interest. The addition of an organic solvent such as ethanol, methanol, propanol, and butanol in the process is reported to enhance the dissolution and decomposition of biomass, stabilize reaction intermediates, inhibit char formation, and improve bio-oil energy density (Zeb et al., 2017). In a recent study conducted by Xiao and colleagues, they demonstrated that the addition of ethanol in the hydrothermal liquefaction process increased the penetration of solvent into the rigid structure of lignocellulose which subsequently led to high bio-oil yields (Wu et al., 2019).

Although hydrothermal process has been shown to be effective for high bio-oil yields, there are several concerns that need to be addressed to ensure high efficiency of the process. Up to date, the research on hydrothermal pretreatment of biomass for biofuel production is limited to laboratory scale experiments. This is ascribed to a number of limitations such as the high temperature and pressure conditions involving highly sophisticated equipment, the utilization of reducing gases increasing the process cost, and difficulties in bio-oil extraction due to composition complexity and low yields. In order to improve the process, reduce the operating cost, and enhance bio-oil yield,

---

**Fig. 3. Overview of lignocellulose hydrothermal pretreatment process.**
there is a need for developing more efficient and economical hydrogen donors to substitute the reducing gas and subsequently lower the reaction cost. In addition, exploration of innovative methods and technologies for bio-oil refining is required to upgrade the oil quality.

4. Concluding remarks and future prospects

Lignocellulosic materials are the most abundant feedstock available for second-generation biofuels production and hence, tremendous research efforts have been made to enhance the various aspects of the processes involved (Yau and Easterling, 2018; Raud et al., 2019). However, there are several major challenges hindering the large scale and cost-effective production of cellulosic biofuels (Raud et al., 2019). Many lignocellulosic biofuel plants are under construction while many are already operational in the Europe, North and South America, and Asia (Raud et al., 2019). The biggest difference in capital costs between the first and second generations is attributed to the pretreatment stage. This is ascribed to requirement for reactors capable of resisting high pressures, high temperatures, and/or corrosive catalysts (Stephen et al., 2012). To reduce the cost of the pretreatment process, Eggeman and Elander (2005) studied the economic features of different pretreatment approaches considering both the capital and operating costs as well as glucose and xylose sugar yields. They argued that low-cost reactors are often counterbalanced by the higher costs associated with pretreatment catalyst recovery or higher cost of ethanol product recovery. Moreover, they emphasized that cost reduction evaluation must be performed for each pretreatment as such analysis could help to improve the overall economy of the whole production process by identifying process parameters with the greatest economic impact.

In addition to cost, there are several other factors such as toxicity and waste disposal that need to be addressed prior to the selection of a pretreatment process. Many types of pretreatment require water and organic solvents in at least one stage of the process. This would result in the generation of wastewater which must be remediated to prevent contamination of the environment, while many organic solvents are highly flammable, volatile, and potentially toxic requiring additional safety and storage considerations; all adding to the total cost of the process (Terán Hilares et al., 2018). Besides toxicity and waste disposal, some pretreatment methods involve additional equipment or have high energy requirements which should also be factored into the cost and feasibility of the overall process (Den et al., 2018; Sharma et al., 2019). Many physical/chemical pretreatments utilize acid or alkali solutions or solvents, which would require special corrosion resistant equipment as well as additional maintenance procedures. In general, minimizing solvent cost, minimizing solvent losses, and maximizing biomass loading are critical to reduce the overall operating expenses and to produce a more carbon-neutral product (Chen et al., 2017; Tu and Hallett, 2019).

High sugar yield is also indispensable for the economic production of low-cost lignocellulosic fuels and chemicals (Verardi et al., 2018). Therefore, although conventional hydrothermal pretreatment with hot water is an easy process but it can dramatically increase the overall cost of the whole process in respect of the degradation of reducing sugars during the hydrothermal treatment (de Oliveira Santos et al., 2018). In the contrary, advanced hydrothermal pretreatment (based on the biorefinery platform) aimed at generating added value from lignin and other components (currently destined for energy production), could to some extent address the above-mentioned shortcomings. Technically, by moving water through a fixed bed of lignocellulose biomass, extremely high hemi cellulose sugar yields could be obtained, the majority of lignin could be recovered, and sugar release from the pretreated solids could be enhanced with lower enzyme loadings.

The intensive capital investment required is also among the major reasons to the high production cost of lignocellulosic biofuels. Based on a study, it was predicted that simultaneous saccharification process accounted for 15% of the overall production cost, whereas pretreatment accounted for 17% of the production cost (Tsgeaye et al., 2019). Currently, most of the reports available in the literature were performed at lab scale and there is limited information on the real-world production cost arising from all the stages involved in biofuel production from lignocellulosic biomass, i.e., delignification, hydrolysis, and fermentation. Therefore, future research works should be devoted to the optimization of operating parameters and assessment of total cost of biofuel production from lignocellulose biomass at large scale by using different pretreatment methods. Such information would pave the way for industrial-scale lignocellulosic biofuels production.

Last but not the least, more research should be directed toward the identification/isolation of more effective lignin hydrolyzing microbes by taking advantage of advanced molecular techniques.

Acknowledgments

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References


